

Office of the
Chief Administrative Officer
U.S. House of Representatives
Washington, DC 20515-6860

MEMORANDUM

TO: John W. Lainhart, IV
Inspector General

FROM: Jay Eagen 
Chief Administrative Officer

DATE: OCT 14 1997

SUBJECT: Draft Audit Report - Inspector General Audit

Thank you for the opportunity to comment on your draft report. The draft audit report, "Despite CHO And CAO Mandates, HIR Has Not Begun Development Of A Mainframe Migration Plan" has been reviewed with consideration to the four recommendations contained therein. We appreciate the in-depth analysis provided in the document, and are supportive of the recommendations.

Our specific responses to the recommendations are as follows:

Recommendations:

1. Assign a project leader with sufficient resources and appropriate authority to determine what has been done and what still needs to be done with respect to the directive from the CHO regarding development of a mainframe migration plan.

CAO Response: Concur.

Subsequent to the publication of this draft audit report, House Report 105-196 (Legislative Branch Appropriations Bill for 1998) requested that the House Inspector General study this issue "to determine if the House could be better served in the future by either out-sourcing the operation of the mainframe computer to a vendor or relocating the final mainframe systems to another legislative branch agency."

We would like to collaborate with you on this study on behalf of the House community. In anticipation of our collaboration, an HIR study group has been appointed to work with the OIG's designated leader for the study. The internal HIR group is made up of the following participants: Dianne Jordan (staff sponsor), Jerry Boho (HIR project leader), Sander Zaben, Lea Fowlie, and Jim Daley.

The HIR study group will work with the designated OIG study leader to provide a comprehensive data center inventory of all information systems hardware and software. The HIR study group will work closely with, and assist the OIG study leader with study tasks involved in estimation of costs of viable technical alternatives for each mainframe system. The systems under consideration in the audit are:

<u>Group A</u>	<u>Group B</u>	<u>Group C</u>	<u>External Customers</u>
LIMS NCOA MONIES HIR inventory Photography Recording studio Lobby Act Parking	FMS Payroll OSM/OES MIN/ISIS	Ad Hoc Systems - Page Profiles, Franking Standards Press Gallery	Congressional Budget Office General Accounting Office Prospective Payment Assessment Commission NCOA - Executive Branch Agencies

Using the current Year 2000 Plan as a baseline consideration, the proposed migration paths for these systems are as follows:

- Group A will be modified to become Year 2000 compliant.
- Group B is scheduled for replacement by COTS, out-sourcing , or Web interface.
- Group C are small, custom systems that can be migrated to COTS desktop systems.
- External Customers is a list of Non-House users who purchase time on the HIR mainframe—this study will address the prognosis of these systems, and be incorporated into the Year 2000 planning effort.

2. Present the results of the assessment developed in recommendation 1 to the CHO with recommendations as to how to proceed.

CAO Response: Concur.

We will collaborate with the OIG study leader by performing background research and other tasks that may be required to complete the mainframe migration study requested in House Report 105-196.

3. Direct the Year 2000 project leader to incorporate specific mainframe migration issues for consideration into the current Year 2000 plan.

CAO Response: Concur.

An outside contractor has been retained to perform project leadership of the Year 2000 effort. Mainframe migration issues and recommendations from the OIG study will be taken into consideration, and incorporated in updates of the Year 2000 implementation plan.

4. In concert with existing Year 2000 planning and implementation efforts, develop a mainframe migration plan and present it to the CHO for approval, supported by a comprehensive, in-depth needs analysis, that reflects the wishes of the House— as elaborated in the ISPP, the CHO November 1995 directive, and/or feedback from recommendation 2 above.

CAO Response: Concur.

The efforts of the mainframe migration study group will be coordinated with all Year 2000 planning efforts for the development of an analysis of mainframe alternatives based on the data center inventory, and the technical alternatives (COTS, out-sourcing, client-server solutions) for Groups A, B, C and External Customers listed above. Scheduling and deliverables will adhere to requirements set by the outcome of the mainframe migration study.